Intellectual Property Law

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*Admitted in U.S. Patent and Trademark Office [†]Admitted in California, Hawaii & Nevada Admitted in Illinois & N

March 7, 2001

Box MISSING PARTS Assistant Commissioner for Patents Washington, DC 20231

In re application of: Robert A. Luciano et al.

Serial number: 09/742,679 Filed: December 20, 2000

Title: APPARATUS AND METHOD FOR MAINTAINING GAME STATE Attorney docket number: 732.462 SDG.UA-Maintaining Game State

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

- A Notice to File Missing Parts of Non-Provisional Application. 1.
- 2. A Declaration for Utility Patent Application.
- A Statement Claiming Small Entity Status. 3.
- A Fee Transmittal form. 4.
- 5. A Credit Card Payment Form.
- A return receipt postcard.

Cordially yours.

Ian F. Burns

Registration Number: 33,297

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.

Trademark Office

FACSIMILE

Transmitted by facsimile to the Patent and

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to re-

FEE TRANSMITTAL Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)_{1,179.00}

spond to a collection of info	ormation unless it displays a valid OMB cont	rol number.
С	mplete if Known	
Application Number	09/742,679	9
Filing Date	December 20, 2000	/
First Named Inventor	Robert A. Luciano et al.	MAR
Examiner Name		ATE:
Group / Art Unit		7
Attorney Docket No.	732.462	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES Large Entity Small Entity Fee	Fee Paid				
Deposit Account Number 50,0913	Code (\$) Code (\$) 105 130 205 65 Surcharge - late filing fee or oath	65.00				
Deposit	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	0.00				
Account Name Ian F. Burns & Associates	139 130 139 130 Non-English specification	0.00				
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination	0.00				
2. X Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action	0.00				
Check Money X Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	0.00				
FEE CALCULATION	115 110 215 55 Extension for reply within first month	0.00				
	116 380 216 190 Extension for reply within second month	0.00				
1. BASIC FILING FEE Large Entity Small Entity	117 870 217 435 Extension for reply within third month	0.00				
Fee Fee Fee Fee Description	118 1,360 218 680 Extension for reply within fourth month	0.00				
Code (\$) Code (\$) Fee Paid	128 1,850 228 925 Extension for reply within fifth month	0.00				
101 690 201 345 Utility filing fee 355.00	119 300 219 150 Notice of Appeal	0.00				
106 310 206 155 Design filing fee	120 300 220 150 Filing a brief in support of an appeal	0.00				
107 480 207 240 Plant filing fee	121 260 221 130 Request for oral hearing	0.00				
108 690 208 345 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding	0.00				
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable	0.00				
SUBTOTAL (1) (\$) 355.00	141 1,210 241 605 Petition to revive - unintentional	0.00				
2. EXTRA CLAIM FEES	142 1,210 242 605 Utility issue fee (or reissue)	0.00				
Fee from Ext <u>ra Claims below Fee Paid</u>	143 430 243 215 Design issue fee	0.00				
Total Claims -20** = 71 X 9 = 639	144 580 244 290 Plant issue fee	0.00				
Independent 6 - 3** = 3 x 40 = 120	122 130 122 130 Petitions to the Commissioner	0.00				
Multiple Dependent =	123 50 123 50 Petitions related to provisional applications	0.00				
**or number previously paid, if greater; For Reissues, see below	126 240 126 240 Submission of Information Disclosure Stmt					
Large Entity Small Entity	594 40 594 40	0.00				
Fee Fee Fee Fee Description Code (\$)	property (times number of properties)	0.00				
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3	(37 CFR § 1.129(a))	0.00				
104 260 204 130 Multiple dependent claim, if not paid	149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00				
109 78 209 39 ** Reissue independent claims over original patent	Other fee (specify)	0.00				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)	0.00				
SUBTOTAL (2) (\$) 759.00	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65					
SUBMITTED BY Complete (if applicable)						
Name (Print/Type) Ian F. Burns Registration No. (Attorney/Agent) 33,297 Telephone 826-6160						

SUBMITTED BY	Complete (if applicable)		
Name (Print/Type) Ian F. Burns	Registration No. (Attorney/Agent) 33,297	Telephone 826-6160	
Signature .	27	Date 3/7/0/	
WARNING:			

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.







UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/742,679

12/20/200

Robert A. Luciano

732.462

21707 IAN F. BURNS P O BOX 20038 RENO, NV 89515



CONFIRMATION NO. 9914
FORMALITIES LETTER
OC000000005740233

Date Mailed: 02/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1518.
 - \$1278 for 71 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 2358.		1///	
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A copy of this notice MUST be returned with the reply.	09742679	355.0 639.00 120.00 65.00	

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2001 BNGUYENI 00000051 01 FC:201 02 FC:203 03 FC:202 04 FC:205